



Docket No.: C1037.70039US01
(PATENT)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Heather L. Davis
Serial No.: 10/816,220
Confirmation No.: 8632
Filed: April 1, 2004
For: IMMUNOSTIMULATORY NUCLEIC ACID OIL-IN-WATER
FORMULATIONS AND RELATED METHODS OF USE
Examiner: N. M. Minnifield
Art Unit: 1645

Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated January 22, 2007

Sharon R. Lloyd
Sharon R. Lloyd

SECOND PRELIMINARY AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 7 of this paper.